DT07 Rec'd PCT/PT0 T011390 (RJAN 2004)
Approved for use through 3/31/2007, OMB 0651-0021
U.S. Patent and Trademiark Office; U.S. DEPARTMENT OF COMMERCE

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	ANSMITTAL LETTER TO	ATTORNEY'S DOCKET NUMBER MNE 107									
	DESIGNATED/ELECTED ICERNING A SUBMISSIO	U.S. APPLICATION NO-(If known, Speck) OFR 1.5)									
	TIONAL APPLICATION NO. P2003/007515	INTERNATIONAL FILING DATE 10 July 2003	PRIORITY DATE CLAIMED 10 July 2002								
	INVENTION SYSTEMS FOR RELEASE	BIODEGRADABLE OR BIOCOMPATIBLE POLYMERS									
WITH A SHAPE MEMORY EFFECT APPLICANT(S) FOR DO/EO/US											
LENDLEIN, Andreas; STEUER, Susi; and TULEWEIT, Annika											
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:											
	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.										
2.	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.										
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.										
4. 🗸	The US has been elected (Article 31).										
5. 🗸	A copy of the International Application as filed (35 U.S.C. 371(c)(2))										
	a. is attached hereto (required only if not communicated by the International Bureau).										
	b.										
. <u></u>	c. is not required, as the application was filed in the United States Receiving Office (RO/US).										
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).										
	a. is attached hereto.										
	b. has been previously submitted under 35 U.S.C. 154(d)(4).										
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))										
	a. are attached hereto (required only if not communicated by the International Bureau).										
	b. have been communicated	by the International Bureau.									
	c. have not been made; howe	ever, the time limit for making such amendr	nents has NOT expired.								
	d. have not been made and will not be made.										
8.	An English language translation of th	e amendments to the claims under PCT Ar	ticle 19 (35 U.S.C. 371(c)(3)).								
9. 🔽	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). [Unexecuted]										
10.	An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary E	examination Report under PCT								
Items	11 to 20 below concern document(s) or information included:									
11.	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.									
12.	An assignment document for recording	ng. A separate cover sheet in compliance w	ith 37 CFR 3.28 and 3.31 is included.								
13. 🔽	A preliminary amendment.										
14. 🔽	An Application Data Sheet under 37 (CFR 1.76.									
15.	A substitute specification.										
16. 🔲	A power of attorney and/or change of	address letter.									
17.	A computer-readable form of the sequ	uence listing in accordance with PCT Rule	13 <i>ter</i> .2 and 37 CFR 1.821- 1.825.								
18.	A second copy of the published Intern	national Application under 35 U.S.C. 154(d)	(4).								
19.	A second copy of the English language	ge translation of the international application	n under 35 U.S.C. 154(d)(4).								
20. 🗹	Other items or information: Copy of	International Sarch Report	et"								

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

DT15 Rec'd PCT/PTO 1 0 JAN 2005

PTO-1390 (Rev. 12-2004)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.							ATTORNEY'S DOCKET NUMBER			
10/520782 PCT/EP2003/007515						MNE 107				
21. The following fees are submitted:							licant use	Office use only		
✓ a) Basic national fee\$300.00						\$	300.00			
☑ b) Examination fee\$200.00						\$	200.00			
☑ c) Search fee\$500.00							500.00			
TOTAL OF ABOVE CALCULATIONS = \$1000.00							1,000.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.										
Total Sheets	Extra sheets Number		er of each additional 50 or fraction RATE of (round up to a whole number)							
45 - 100 =	/50) =			× \$250.00	\$	0			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).										
CLAIMS	NUMBE	R FILED	NUMBER EXTRA		RATE	\$				
Total claims	3 21	- 20 =	1	х	\$50.00	\$	50.00			
Independent claims 2		- 3 =	-3= 0 x		\$200.00	\$				
MULTIPLE DEP	ENDENT CLAIM(S)	(if applicable)		+	\$360.00	\$				
			TOTAL OF ABOVE	CALC	CULATIONS =	\$				
Applicant of by 1/2.	claims small entity sta	atus. See 37 C	FR 1.27. The fees indicated al	bove a	re reduced	\$				
SUBTOTAL =							1,000.00	-		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).										
TOTAL NATIONAL FEE =							1,050.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +										
TOTAL FEES ENCLOSED =								-		
Amount to be refunded:								\$		
Amount to be charged								\$		
a. A che	ck in the amount of \$		to cover the abo	ove fee	s is enclosed.					
a. A check in the amount of \$ to cover the above fees is enclosed. b. Please charge my Deposit Account No. 50-3129 in the amount of \$ 1,050.00 to cover the above fees. A duplicate copy of this sheet is enclosed.										
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3129 A duplicate copy of this sheet is enclosed.										
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.										
SEND ALL CORRESPONDENCE TO:										
Patrea L. Pabst						ha D. Monheit				
Pabst Patent Group LLP					SIGNATURE Rivka D. Monheit					
1201 Peachtree Street, Suite 1200 Atlanta, Georgia 30361				NAME						
United States of America				48,731						
REGISTRATI						ON NUMBER				

FORM PTO-1390 (REV. 12-2004)

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IN THE UNITED STATES RECEIVING OFFICE FOR THE PATENT COOPERATION TREATY

Applicant: LENDLEIN, Andreas; STEUER, Susi; and

TULEWEIT, Annika

International Application No:

PCT/EP2003/007515

International Filing Date:

10 July 2003

Express Mail Label No:

EV 487327591 US

Date of Deposit:

January 10, 2005

For:

SYSTEMS FOR RELEASING ACTIVE INGREDIENTS, BASED ON BIODEGRADABLE OR BIOCOMPATIBLE

POLYMERS WITH A SHAPE MEMORY EFFECT

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

EXPRESS MAIL TRANSMITTAL LETTER FOR PATENT APPLICATION AND CERTIFICATE OF MAILING

Sir:

Pursuant to 35 U.S.C. § 371 and 37 C.F.R. § 1.10, applicants enclose a Transmittal Letter to the United States Designated/Elected Office (DO/EO/US), a copy of the published International Application No. PCT/EP2003/007515 entitled "SYSTEMS FOR RELEASING ACTIVE INGREDIENTS, BASED ON BIODEGRADABLE OR BIOCOMPATIBLE POLYMERS WITH A SHAPE MEMORY EFFECT", filed in the European Patent Office for the PCT on 10 July 2003, which claims priority to U.S. Serial No. 60/395,167 filed 10 July 2002; U.S. Serial No. 60/218,408 filed 14 July 2002; and U.S. Serial No. 60/218,760 filed 17 July 2002, a Preliminary Amendment, an unexecuted Declaration for Patent Application, English Translation, and Application Data Sheet.

This application is being filed on January 10, 2005, by mailing the Transmittal Letter to MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 via the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 C.F.R. § 1.10. The Express Mail label number appears in the heading of this paper which is attached to the Transmittal Letter.

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International Application No.: PCT/EP2003/007515

International Filing Date: 10 July 2003

EXPRESS MAIL TRANSMITTAL LETTER FOR

PATENT APPLICATION AND CERTIFICATE OF MAILING

Express Mail Label No.: EV 487327591 US Date of Deposit: January 10, 2005

All correspondence concerning this application should be mailed to:

Customer No. 23579
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Respectfully submitted,

Rivka D. Monheit

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International Application No.: PCT/EP2003/007515

International Filing Date: 10 July 2003

EXPRESS MAIL TRANSMITTAL LETTER FOR

PATENT APPLICATION AND CERTIFICATE OF MAILING

Express Mail Label No.: EV 487327591 US

Date of Deposit: January 10, 2005

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

I hereby certify that this Express Mail Transmittal Letter for Patent Application and any documents referred to as attached therein are being deposited with the United States Postal Service on this date, January 10, 2005, in an envelope as "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Number EV 487327591 US addressed to MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: January 10, 2005

Michelle Ward

Michelle D. Ward